

WASHINGTON STATE GAMBLING COMMISSION

LOCATION: 4565 7th Avenue SE, Lacey WA 98503 MAILING ADDRESS: P.O. Box 42400, Olympia WA 98504-2400 TELEPHONE: 360-486-3440 / FAX NUMBER: 360-486-3631 TOLL-FREE: 1-800-345-2529 / TDD: 360-486-3637

WEB SITE: www.wsgc.wa.gov

GAME ENDORSEMENT INFORMATION FORM FOR:

NEW OR UPGRADED ELECTRONIC OR MECHANICAL DEVICES AND EQUIPMENT

DEPOSIT REQUIRED \$1000

INSTRUCTIONS

- Licensed manufacturers and license applicants must use this form when they submit new or upgraded electronic or mechanical gambling devices or equipment (including software) for use in Washington State. All electronic or mechanical devices and equipment (including software) must be analyzed and approved by the Gambling Commission before they can be sold, rented or otherwise supplied to licensed gambling operators or Class III gaming facilities in Washington State.
- The initial deposit for the review of each submission is \$1000.00. The commission may assess additional amounts to cover inspection and investigative costs as allowed by law. These costs, which are in addition to the initial deposit, will be determined by our staff and are payable prior to the completion of the evaluation as prescribed by Washington Administrative Code (WAC) 230-12-315.
- Please submit one form for each electronic / mechanical device.
- Make check payable to the Washington State Gambling Commission.

REQUIRED DOCUMENTATION

To introduce an electronic or mechanical gambling device or equipment (including software) into Washington State, you must name all parties involved in the manufacturing or assembly of the device / equipment, name each location where the device / equipment will be stored during and after its manufacture, provide technical and operational documentation sufficient for a comprehensive evaluation of the security, accuracy and integrity of the device / equipment, and be able to prove ownership of the device / equipment to assure that it can be legally used. If you are submitting an upgrade, you may omit information previously submitted using this form. In doing so, you are certifying that the information previously provided has not changed. You must also contact agency staff to coordinate delivery of the device / equipment to the Washington State Gambling Commission's Electronic Gambling Lab for evaluation. Please provide the following:

- A copy of any technical materials / diagrams associated with the device / equipment, and all of the operational procedures / manuals, including relevant hardware and/or software manuals;
- The rules of play including specific examples. Ensure all game specifications and/or capabilities are explained;
- A schedule of any and all payouts and odds;
- A copy of the flare or graphic representation used:
- A copy of any independent lab report(s) associated with the device, equipment or software;
- A copy of all mathematical analysis and laboratory approvals as appropriate;
- A statement that the requester agrees to pay all costs for shipment, inspection and incidental costs incurred by the Washington State Gambling Commission in connection with the examination and evaluation of the new game / equipment;
- A statement of the applicant's and the developer's intent as to patents / copyrights / trademarks;
- A list of contacts with whom the Gambling Commission may discuss the game / equipment submission. Include a name, address, telephone number and e-mail address (if available).

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THIS FORM WILL BE READ BY A SENSITIVE SCANNING DEVICE

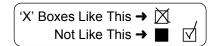
Please use the following examples to fill out this form:

Print with a black ballpoint pen and press firmly, or use a typewriter.

-For optimum accuracy, please print in capital letters and avoid contact with the edge of the box. The following will serve as an example:

 $\frac{\left[A;B;C;D;E;F;G;H;I;J;K;L;M\right]}{\left[N;O;P;Q;R;S;T;U;V;W;X;Y;Z\right]} \left[1;2;3;4;5;6;7;8;9;0\right]$

-Please 'X' the boxes. Do NOT shade-in or use '√'.



- When asked for additional lists or comments, the information must be neatly printed or typewritten on sheets of white 8 $\frac{1}{2}$ X 11 inch paper.
- When asked for legal or business documents, the copies must be clean and legible and marked so the document can be identified to the question being asked.

YOUR APPLICATION AND THE PUBLIC RECORDS ACT

From the moment we receive your application, it becomes a public document subject to all the provisions of RCW 42.17, the Public Records Act, and other provisions of Washington law. The commission, per WAC 230-04-020 (4) may disclose, to the public, or discuss, at a public meeting, all information set forth in this application and all supplemental information submitted. The commission responds to public document requests through a Public Disclosure Request process. In the event that the commission receives a public disclosure request regarding this application, or the license file established, you may request, in writing, that the commission notify you of such request so you may take actions outlined in RCW 42.17.330.

CONDENSED RULES REGARDING GAMES AND GAME REGISTRATION REQUIREMENTS

WAC 230-02-412 Gambling equipment defined. For purposes of this title, gambling equipment means any device, gambling related software, expendable supply or any other paraphernalia used in conjunction with or to facilitate gambling. Gambling equipment includes, but is not limited to:

- (1) Amusement games;
- (2) Punch boards and pull-tabs;
- (3) Devices for dispensing pull-tabs;
- (4) Electronic devices for conducting, facilitating or accounting for the results of gambling activities, including, but not limited to:
 - (a) Components of a tribal lottery system;
 - (b) Electronic devices for reading and displaying outcomes of gambling activities; and
 - (c) Accounting systems that are a part of, or directly connected to, a gaming system including, but not limited to:
 - (i) Bet totalizers:
 - (ii) Progressive jackpot meters; or
 - (iii) Keno systems;
- (5) Bingo equipment, as defined in WAC 230-02-250;
- (6) Equipment or machinery utilized for the manufacture of gambling equipment when such equipment is designed primarily for such purpose;
- (7) Devices and supplies used to conduct card games, fund-raising events, recreational gaming activities, or Class III gaming activities, as defined in the Indian Gaming Regulatory Act at U.S.C. 25 chapter 29 § 2703 and in Tribal-State compacts, including, but not limited to:
 - (a) Gaming chips;
 - (b) Cards;
 - (c) Dice;
 - (d) Card shuffling devices;
 - (e) Graphical game layouts for table games;
 - (f) Ace finders or no-peek devices;
 - (g) Roulette wheels:
 - (h) Keno equipment; and
 - (i) Tables manufactured exclusively for gaming purposes.

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WAC 230-12-315 Request for review services -- Fees. Any person submitting equipment, paraphernalia, services, or schemes for review, inspection, and/or evaluation by commission staff shall reimburse the commission the cost of services performed. A deposit of the estimated cost may be required prior to performance of such service. If a deposit is required, it shall be received by the commission prior to the performance of any substantial work on the request.

WAC 230-12-316 Electronic or mechanical equipment review. A licensee must submit electronic or mechanical gambling equipment to the director for review to verify compliance with chapter 9.46 RCW and Title 230 WAC prior to the sale, lease or operation of such equipment. The equipment shall meet technical standards for compliance, accuracy, security and integrity. Persons not licensed by the commission may also request equipment review to verify compliance with state laws and rules.

- (1) Manufacturers may be required to submit electronic or mechanical gambling equipment for review.
 - (a) To allow for continued testing and training, any equipment that is submitted for review under this section may be kept by the director for as long as the equipment remains out for play in the state of Washington.
 - (b) The gambling commission and staff are not liable for any damage to equipment while in their possession.
- (2) Fees for review shall be as set forth in WAC 230-12-315.
- (3) Equipment operated in Washington state must be identical to the version reviewed by the director.
- (4) Equipment in operation on July 1, 2003, may continue in operation pending review by the director.
- (5) If the person submitting such equipment does not agree with the director's decision, a petition for declaratory order may be filed with the commission to be heard de novo by an administrative law judge designated by the commission, pursuant to RCW 34.05.240 and WAC 230-50-850.

ATTENTION APPLICANT

You may significantly reduce the time it takes to process your application by:

- > Following the above instructions;
- Answering all questions on this application;
- Submitting all required documentation with your application; and
- Responding to additional information requests within the deadlines assigned.

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GAME ENDORSEMENT INFORMATION FORM FOR:

NEW AND UPGRADED ELECTRONIC OR MECHANICAL DEVICES AND EQUIPMENT/SOFTWARE

DEPOSIT REQUIRED \$1000

FOR SYSTEMS WITH MULTIPLE GAMES, USE ONE INFORMATIONAL FORM FOR EACH GAME

F															
	Please provide the official name of the device / software you wish to have approved:														
	Device / software:														
	(Be sure to include version numbers of systems and software.)														
1.	Indicate which market you plan to solicit your gambling equipment: (Mark all that apply)														
	☐ Tribal ☐ Commercial														
2.	Name of Company:														
3.	Are you already licensed with Washington State Gambling Commission:														
	Yes: License Number: -														
	☐ No: You must also submit an application for a manufacturer's license (GC4-001).														
4.	Who owns the rights to the game:														
	Last Name														
	First Name MI														
	Mailing Address														
	City State Zip														
	_ _ _ _ _ _ Phone Number														
5.	Is this game identified by any other name(s)?														
	Yes No If YES, what is / are the other name(s)?														
Ag	gency Use Only:														
Code: 211-72 Date: Amount: \$															

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6.	Is this a system with multiple software and/or hardware components?														
	Yes No If YES, list each individual component and version number: (Attach separate sheet if needed)														
7.	Is this a modification of an existing game, device or equipment (including software)? ☐ Yes ☐ No ☐ N/A														
8	Is this a replacement for an existing game, device or equipment (including software)?														
•	☐ Yes ☐ No ☐ N/A														
9.	What is your legal right to the game / device / equipment?														
	a. I invented it. Please enclose a copy of your patent, copyright, and trademark documents.														
	b. I have the written, legal rights to market this game / device / equipment.														
	Enclose a copy of the contract that allows you to market this game / device / equipment.														
	Enclose a copy of the contract from the inventor allowing this game / device / equipment to be marketed.														
	c. Are you currently seeking a patent / copyright / trademark?														
	Yes, please enclose copies of all documents associated with the patent / copyright / trademark														
	applications(s). ➤ □ No.														
10															
10	. Will other parties supply material, layouts, or equipment / software required to play the game? Yes No If YES, provide names and addresses of those parties, companies, or concerns.														
	Enclosed N/A														
11	. Is there legal action or litigation underway that would affect the ownership of this game / device / equipment, o affect your rights to market this product in Washington State?														
	☐ Yes ☐ No If YES, describe the nature of the action on a separate sheet of paper. ☐ Enclosed ☐ N/A														
12	. Is this game / device / equipment currently being operated and/or licensed outside Washington State?														
	☐ Yes ☐ No If YES, provide a list of all locations on a separate sheet of paper. ☐ Enclosed ☐ N/A														
13	. Is this game / device / equipment currently being played at Tribal casinos inside Washington State?														
	☐ Yes ☐ No If YES, provide a list of those in-state locations on a separate sheet of paper. ☐ Enclosed ☐ N/A														
14	. On a separate sheet of paper, provide the name, address, and phone number of persons we may contact to discuss this game / device / equipment.														
	☐ Enclosed ☐ N/A														
15	. On a separate sheet of paper, name all entities, parties or persons that will benefit / share in any proceeds derived from the operation of this game / device / equipment.														
16	On the provided attachments (GC4-303a, GC4-303b, and GC4-303c) with this application, specify each														

entities, parties or persons that will benefit/share in any proceeds derived from the operation of this new game / device / equipment. Start with yourself and your spouse. Feel free to duplicate the worksheet to accommodate all persons and spouses involved.

location where the game / device / equipment is manufactured, assembled, and stored. Further, name all

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	A statement that the requester agrees to pay all costs for shipment, inspection and incidental costs incurred by the Washington State Gambling Commission in connection with the examination and evaluation of the new game / equipment;																							
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